Prudential

Beneficiary Designation

For IRA, Roth IRA & SEP IRA Accounts

Prudential Mutual Fund Services LLC (PMFS),
a Prudential Financial company

For assistance:
Clients: **(800) 225-1852**
Pruco representatives: **(800) 542-7117**
Financial professionals: **(888) 778-5471**

Instructions

Use this form to add or change the beneficiary designation on your IRA, Roth IRA, & SEP IRA Accounts.

About Your Beneficiary Designation

When you submit this form, it will replace any prior beneficiary designations on the accounts listed on this form. Therefore it is important that you list all the primary and secondary beneficiaries you want to designate, even if you are only updating information about one beneficiary.

If you do not designate a beneficiary, your IRA will be distributed to your surviving spouse or your estate.

We encourage you to consult an advisor who understands both tax-law and related estate-planning implications of this form.

1 Account Information *(Print account information exactly as it appears on your account statement.)*

A. Owner Information

Account Owner Name *(first, MI, last name)* ☐ Mr. ☐ Ms. ☐ Mrs.

Social Security number

Date of birth *(mm/dd/yyyy)*

Mailing address

City

State

ZIP code

4-digit ext

Daytime telephone number

Extension

Home Telephone number

B. Account Number(s)

The beneficiary designations listed on this form apply to all funds under the following PMFS IRA accounts. If you wish to designate different beneficiaries for different accounts, then submit separate forms for each account.

Account number *(required)*

Account number *(if applicable)*

Account number *(if applicable)*

2 Beneficiaries

Those you designate as your primary beneficiaries will be the first to inherit your mutual fund assets upon your death. Secondary beneficiaries will receive the balance of your mutual fund assets only after the primary beneficiary. Indicate the percentages for each beneficiary. The primary and secondary beneficiary totals must each equal 100%.

A. Primary Beneficiaries *(check all that apply)*

▶ **My Spouse** ***(Select one only. Do not check both boxes.)***

☐ To the person I am married to at the time of my death

OR

☐ My spouse by name

Name *(first, MI, last name)* _____ Date of birth *(mm/dd/yyyy)* _____ _____%

▶ **My Descendants**

If you want your assets divided into unequal amounts to your descendants, then list the names and percentages of each person in the "Individual" section.

☐ To my descendants who survive me, per stirpes _____%

With per stirpes, your assets will be divided equally among your children. If a child is deceased, the entire portion due to that child will be divided equally among his or her children (if any). Note: Step children, foster children, etc are not included.

☐ Equally to my grandchildren who survive me _____%

▶ **Individuals**

If the **Per Stirpes** designation is checked and the named beneficiary does not survive the account owner, but leaves surviving descendants, then any share otherwise payable to such beneficiary shall instead be paid to such beneficiary's surviving descendants, by right of representation. **Note:** If you need more space to list additional beneficiaries, provide all the information in the same format on a separate sheet with the date and your signature.

☐ Name *(first, MI, last name)* _____ Date of birth *(mm/dd/yyyy)* _____ _____%

Relationship to owner _____ ☐ Add a **Per Stirpes** designation *(optional designation)*

☐ Name *(first, MI, last name)* _____ Date of birth *(mm/dd/yyyy)* _____ _____%

Relationship to owner _____ ☐ Add a **Per Stirpes** designation *(optional designation)*

☐ Name *(first, MI, last name)* _____ Date of birth *(mm/dd/yyyy)* _____ _____%

Relationship to owner _____ ☐ Add a **Per Stirpes** designation *(optional designation)*

▶ **Trusts & Other Designations**

☐ Trust, Entity or Charitable Organization Name _____ Date of Trust *(mm/dd/yyyy)* _____ _____%

☐ My Estate..... _____%

If the total does not equal 100%, Prudential will allocate equal percentages totaling 100% > 100%

2 Beneficiaries *(continued)*

B. Secondary Beneficiaries *(check all that apply)*

▶ My Spouse ***(Select one only. Do not check both boxes.)***

☐ To the person I am married to at the time of my death

OR

☐ My spouse by name

Name *(first, MI, last name)* _____ Date of birth *(mm/dd/yyyy)* _____ _____%

▶ My Descendants

If you want your assets divided into unequal amounts to your descendants, then list the names and percentages of each person in the "Individual" section.

☐ To my descendants who survive me, per stirpes _____%

With per stirpes, your assets will be divided equally among your children. If a child is deceased, the entire portion due to that child will be divided equally among his or her children (if any). Note: Step children, foster children, etc are not included.

☐ Equally to my grandchildren who survive me _____%

▶ Individuals

If the **Per Stirpes** designation is checked and the named beneficiary does not survive the account owner, but leaves surviving descendants, then any share otherwise payable to such beneficiary shall instead be paid to such beneficiary's surviving descendants, by right of representation. **Note:** If you need more space to list additional beneficiaries, provide all the information in the same format on a separate sheet with the date and your signature.

☐ Name *(first, MI, last name)* _____ Date of birth *(mm/dd/yyyy)* _____ _____%

Relationship to owner _____ ☐ Add a **Per Stirpes** designation *(optional designation)*

☐ Name *(first, MI, last name)* _____ Date of birth *(mm/dd/yyyy)* _____ _____%

Relationship to owner _____ ☐ Add a **Per Stirpes** designation *(optional designation)*

☐ Name *(first, MI, last name)* _____ Date of birth *(mm/dd/yyyy)* _____ _____%

Relationship to owner _____ ☐ Add a **Per Stirpes** designation *(optional designation)*

▶ Trusts & Other Designations

☐ Trust, Entity or Charitable Organization Name _____ Date of Trust *(mm/dd/yyyy)* _____ _____%

☐ My Estate ..... _____%

If the total does not equal 100%, Prudential will allocate equal percentages totaling 100% > 100%

3 Minor Beneficiaries *(optional)*

If any beneficiary named in this form is a minor, I hereby request that proceeds be paid to _____ as custodian under the _____ (name of state) UTMA (to the extent permitted by named state).

Important: The date of birth of the named minor is required in Section 2 (Individuals) for this designation to apply.

4 Spousal Consent

Special laws apply to the designation of a beneficiary by a married person. If you are married and have not designated your spouse as your sole primary beneficiary, your spouse must sign this form.

Spouse's signature | Date *(mm/dd/yyyy)*

Sign here X_____ | __ __ / __ __ / __ __ __ __

5 Signature

As the account owner, by signing this form, I certify and acknowledge that:

- this beneficiary designation replaces the current designation on my IRA accounts.
- this beneficiary designation cannot be changed or modified after my death.
- the calculation of RMDs may be affected by changing the beneficiary of this IRA, and
- the information provided on this form is correct.

Account owner's signature | Date *(mm/dd/yyyy)*

Sign here X_____ | __ __ / __ __ / __ __ __ __

(if the IRA owner is a minor, a legal guardian or custodian must sign.)

Mailing Instructions

Standard mail to:
Prudential Mutual Fund Services LLC
PO Box 9658
Providence, RI 02940

Overnight mail to:
Prudential Mutual Fund Services LLC
4400 Computer Drive
Westborough, MA 01581